## IN THE UNITED STATES AND TRADEMARK OFFICE

In re Application of:

Meissner et al.

Docket No.: PF200D1C1

Application No.: Not Yet Assigned

Filed: Concurrently Herewith

Art Unit: N/A

For: Human Criptin Growth Factor

Examiner: Not Yet Assigned

## **INFORMATION DISCLOSURE STATEMENT (IDS)**

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure imposed by 37 C.F.R. § 1.56 to inform the Patent and Trademark Office of all references coming to the attention of each individual associated with the filing or prosecution of the subject application, which are or may be material to the patentability of the claims of the subject application, Attorneys for Applicants hereby direct the Examiner's attention to the references AA-AO listed on the attached Form PTO/SB/08.

A copy of reference AA on Form PTO/SB/08 is attached. Copies of references AB-AO were submitted by Applicants or cited by the Examiner in connection with U.S. Application Serial No. 08/471,371, filed June 6, 1995 and U.S. Application Serial No. 09/393,023, filed September 9, 1999 to which the instant application claims priority under 35 U.S.C. § 120. Pursuant to 37 C.F.R. § 1.98(d), the Examiner is directed to the files of U.S. Application Serial Nos. 08/471,371 and 08/393,023 for copies of references AB-AO.

Applicants wish to bring to the attention of the Examiner that SEQ ID NO:1, and the corresponding cDNA clone of this application, are related to SEQ ID NO:40780 in copending U.S. Application Serial No. 09/912,292, filed July 26, 2001. A legible copy of that portion of U.S. Application Serial No. 09/912,292, which caused it to be listed on the attached revised form PTO/SB/08 is submitted herewith as reference AA. Applicant's note that none of the above recited sequences are claimed in U.S. Application Serial No. 09/912,292.

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Identification of the listed references is not to be construed an admission of any individual associated with the filing or prosecution of the subject application that such references are available as "prior art" against the subject application. Furthermore, Applicants do not waive any rights to appropriate action to establish patentability over any of the listed documents should they be applied as references against the claims of the subject application.

Applicants respectfully request that the Examiner review the listed references and that the references be made of record in the file history of the application.

It is submitted that the Information Disclosure Statement is in compliance with 37 CFR 1.98 and the Examiner is respectfully requested to consider the listed references.

Since this Information Disclosure Statement is being submitted before the mailing date of the first Office Action on the merits, no fee is believed to be due. However, if the and Trademark Office determines otherwise, please charge the required fee to Human Genome Science, Inc., deposit account no. 08-3425.

Dated: **Sept 22, 200**3

Respectfully submitted,

Michele M. Wales-

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Approved for use through 07/31/2003. OMB 0651-0031

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l In	<b>VFORMATION</b>	1 DI	SCLOSURE	Filing Date	Concurrently Herewith	
STATEMENT BY APPLICANT (Use as many sheets as necessary)				First Named Inventor	Paul S. Meissner	
				Art Unit	N/A	
				Examiner Name	Not Yet Assigned	
Sheet	1	of	1	Attorney Docket Number	PF200D1C1	

	U.S. PATENT DOCUMENTS						
Examiner	Cite	Document Number	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where		
Initials*	No.1	Number-Kind Code <sup>2</sup> (if known)	MM-DD-YYYY	Applicant of Cited Document	Relevant Passages or Relevant Figures Appear		
	AA	US-09/912,292	NOT PUBLISHED	Rosen et al.	Pages 1-75 (pages 1& 2 partially redacted); portion of Table 2; and SEQ ID NOs:40780		
	AB	5,264,557	11-23-1993	Salomon et al.			
	AC	5,766,923	05-15-1995	Kirschner et al.			
	AD	5,688,936	05-14-1993	Edwards et al.			
	ΑE	5,256,643	10-26-1993	Persico et al.			

FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No.1	Foreign Patent Document  Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T6	
	AF	WO-96/39420-A1	12-12-1996	Human Genome Sciences, Inc.			
	AG	WO-96/03499-A1	02-08-1996	President and Fellows of Harvard College			
	АН	WO-96/35708-A1	11-14-1996	The Johns Hopkins University School of Medicine			
	Al	EP-0298723-A1	01-11-1989	Biotechnology Research Associates			
	AJ	WO-01/77322-A1	10-18-2001	Merck Patent GMBH			

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at <a href="www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

NON PATENT LITERATURE DOCUMENTS						
Examiner Initials	Cite No. Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (bo magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.					
	AK	DONO et al., "Isolation and Characterization of the CRIPTO Autosomal Gene and Its X-Linked Related Sequence," Am. J. Hum. Genet., 49:555-565, (1991).				
	AL	CICCODICOLA et al., "Molecular cloning of a gene of the 'EGF family' expressed in undifferentiated human NTERA2 teratocarcinoma cells," EMBO J. 8(7):1987-1991, (1989).				
	AM	LERNER, R.A., "Antibodies of predetermined specificity in biology and medicine," Adv. Immunol., 36:1-44, (1984).				
	AN	SEN, S., "Aneuploidy and cancer", Current Opinion in Oncology, 12:82-88, (2000).	T			
	AO	CLARK et al., "The potential role for prolactin-inducible protein (PIP) as a marker of human breast cancer micrometastasis," Brig. J. Cancer, 81(6):1002-1008, (1999).				

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Applicant's unique citation designation number (optional). 2Applicant is to place a check mark here if English language Translation is attached.

Examiner	Date	
Signature	Considered	